

NDUSTRIAL AND MANAGEMENT ENGINEERING, POSTECH



A Literature Review of Automatic Facial Landmark **Detection Techniques**

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ENGINEERING, POSTEC



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본 연구는 양산부산대학교병원 의생명융합연구소의 인큐베이팅 연구과제의 지원을 받아 수행된 결과입니다.

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Contents

Introduction

Method of Literature Review

Results

- Existing Research Directions
- 3D Face Databases
- Landmarks
- Landmark Detection Methods
- Performance

Discussion



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Introduction

Landmarks (LMs) on 3D face scans have been used to measure facial dimensions, which can help analyze face features for ergonomic product designs.

Representative face model selection based on facial dimension measurement











Research Motivation (1/2)

- LMs used in ergonomic studies are manually plotted on 3D face images by examiners, which is time and effort-demanding and leads to human biases when involving large datasets.
- Palpation on human face with stickers are conducted before 3D scanning for accurate localization later on 2D screen, which is in low efficiency.



Mask design analysis base on 58 facial

Manually localization of LMs by commercial SW



LM localization by palpation on human face





Research Motivation (2/2)

- Automatic LM detection technology has been developed for computer vision applications (e.g., face recognition & reconstruction) but less applied to the ergonomic field.
 - ✓ The detection results provide insufficient LMs for anthropometry analysis.
 - ✓ The effectiveness of detection results has not been verified in ergonomic field.
- \Rightarrow Necessary to develop an effective automatic 3D facial landmark detection method for ergonomic applications.

LM applications in computer vision





98 LM dataset

nomic Design Inology Lab **Objective of the Study**

Systematic literature review for automatic 3D facial landmark detection techniques for ergonomic applications

1. The development of the automatic 3D

facial LM detection

- Existing research direction
- 3D face database
- Landmarks
- Landmark detection method
- Evaluation & performance
- 2. Discussion on the applicability and

development needs for ergonomic







Literature Review: Search Method (1/3)

- □ Source: Scopus database
- Search Keyword
 - ✓ TITLE-ABS-KEY (("3D" OR "3-D") AND ("face" OR "facial" OR "head" OR "body") AND "landmark*" AND ("detection" OR "prediction" OR "localization" OR "placement"))
 - ✓ Limited to recent 10 years, engineering & computer science area
- ❑ Search results: 425 papers



Keywords combination search

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Literature Review: Screening Process (2/3)

□ A total of 30 papers were selected for final review.







Literature Review: Paper List (3/3)

□ After checking the full text of each of the screened papers, a total of 32 papers

(high: 30 papers; medium: 2 papers) were lastly cited in the present study.

No.	Author(s)	Year	Title	Source	Relevancy
1	Wu and Ji	2018	Facial Landmark Detection: A Literature Survey	Computer Vision	М
2	Wang et al.	2018	Facial Feature Point Detection: A Comprehensive Survey	Neurocomputing	М
3	Pui et al.	2019	A Non-template Based Automatic Landmarking on 3D Face Data	Video and Image Processing	Н
4	Huang et al.	2019	An Automated CNN-based 3D Anatomical Landmark Detection Method to Facilitate Surface-Based 3D Facial Shape Analysis	Lecture Notes in Computer Science	Н
5	Bannister et al.	2020	Fully Automatic Landmarking of Dyndromic 3D Facial Surface Scans using 2D Images	Sensors	Н
6	Terada et al.	2018	3D Facial Landmark Detection using Deep Convolutional Neural Networks	ICNC-FSKD	Н
7	Deng et al.	2018	Facial Landmark Localization by Enhanced Convolutional Neural Network	Neurocomputing	Н
8	Wang et al.	2019	Automatic Landmark Placement for Large 3D Facial Image Dataset	Big Data	Н
9	Ridel et al.	2020	Automatic Landmarking as a Convenient Prerequisite for Geometric Morphometrics. Validation on Cone Beam Computed Tomography (CBCT)- based Shape Analysis of the Nasal Complex	Forensic Science International	Х
10	Sun et al.	2019	Expression Robust 3D Facial Landmarking via Progressive Coarse-to-fine Tuning	ACM Transactions on Multimedia Computing	Н
11	Jong et al.	2018	Ensemble Landmarking of 3D Facial Surface Scans	Scientific Reports	Н
12	Conti et al.	2017	Landmarking-Based Unsupervised Clustering of Human Faces Manifesting Labio-Schisis Dysmorphisms	Informatica	Х
13	Zhang et al.	2020	Deep 3D Facial Landmark Localization on position maps	Neurocomputing	Н
14	Sullivan et al.	2019	Extending Convolutional Pose Machines for Facial Landmark Localization in 3D Point Clouds	ICCVW	Н
15	Manal et al.	2019	Survey on the Approaches based Geometric Information for 3D Face Landmarks Detection	IET Image Processing	Н
16	Agbolade et al.	2019	Homologous Multi-Points Warping: An Algorithm for Automatic 3D Facial Landmark	Automatic Control and Intelligent Systems	Н
17	Abu et al.	2019	Automated Craniofacial Landmarks Detection on 3D Image Using Geometry Characteristics Information	Bioinformatics	Н
18	Gao et al.	2019	Deep 3D Facial Landmark Detection on Position Maps	Intelligent Science and Big Data Engineering	Н
19	Paulsen et al.	2019	Multi-view Consensus CNN for 3D Facial Landmark Placement	Computer Vision	Н
20	Camgoz et al.	2015	Facial Landmark Localization in Depth Images using Supervised Ridge Descent	ICCVW	Н
21	Krizaj et al.	2018	Localization of Facial Landmarks in Depth Images using Gated Multiple Ridge Descent	IWOBI	Н
22	Cheng et al.	2018	3D Facial Landmark Localization Based on Two-Step Keypoint Detection	ICALIP	Н
23	Vezzetti et al.	2018	3D Geometry-based Automatic Landmark Localization in Presence of Facial Occlusions	MTA	Н
24	Gao et al.	2018	Expression Robust 3D Face Landmarking Using Thresholded Surface Normals	Pattern Recognition	Н
25	Kai et al.	2017	Accurate landmarking from 3D facial scans by CNN and cascade regression	WMI	Н
27	Xiao et al.	2018	Recurrent 3D-2D Dual Learning for Large-Pose Facial Landmark Detection	ICCV	Н
28	Sghaier et al.	2017	Novel Technique for 3D Face Segmentation and Landmarking	GSCIT	Н
29	Boukamcha et al.	2017	Automatic Landmark Detection and 3D Face Data Extraction	Computational Science	Н
30	Wang et al.	2018	A Coarse-to-Fine Approach for 3D Facial Landmarking by Using Deep Feature Fusion	Symmetry	Н
31	Gilani et al.	2015	Shape-based Automatic Detection of a Large Number of 3D Facial Landmarks	CVPR	Н
32	Johnston and Chazal	2018	A Review of Image-based Automatic Facial Landmark Identification Techniques	Image and Video Processing	Н
33	Shah et al.	2016	Automatic 3D Face Landmark Localization based on 3D VECTOR Field Analysis	IVCNZ	Н
34	Liang et al.	2013	Improved Detection of Landmarks on 3D Human Face Data	IEEE EMBS	Н

Existing Research Directions (1/2)

- Solve landmark detection "in the wild" by leveraging strengths of methods in different categories ^[1]
- In real-world scenarios, facial images are often acquired in uncontrolled conditions: 1) appearance variations (e.g., pose, expression, ethnic background, occlusions, without texture) and 2) environment variations (illumination)

Pose variation^(Bosphorus DB)



Expression variation (ERSC DB)



[3, 4, 10, 21, 23, 24]

Occlusion (Bosphorus DB)









[4]

Texture (EDT DB)



[21, 23] Illumination ^(EDT DB)







Existing Research Directions (2/2)

- Overcome the disadvantage of machine learning based methods that require large datasets
 - ✓ Low training complexity of 30-40 training samples ^[11]
 - ✓ Not large 30 training samples but involves human decision ^[8]
- Consider special application scenarios (e.g., facial deformities)
 - ✓ FASD (fetal alcohol spectrum disorder) with anatomical measurements demands ^[4]
 - ✓ 3D LM identification on subjects with genetic syndromes who have facial dysmorphia ^[5]

FASD identification visual examination



Automatic landmark detection for FASD





Huang et al.(2019)



3D Face Databases

□ The public 3D face scan database shows insufficiency for machine learning-

based methods that require a large number of samples.

No.	Database	Features	Sample	Source	Accessibility
1	Bosphorus	 Images: 4666 3D faces Subj.: 105 Variability: expressions, poses, occlusions Landmark: 22 		http://bosphorus.ee.boun.edu.tr	Free (only 2D image available)
2	FRGCv2	 Images: 4007 Subj.: 466 Variability: expressions Landmark: 8 UND: 1680, 537, rotation 		https://cvrl.nd.edu/projects/data/#face- recognition-grand-challenge-frgc-v20- data-collection	Free
3	BU_3DFE	 Images: 2400 3D facial models Subj.: 100 Variability: expressions, angles (about ±45 ∘yaw angle) Landmark: 83 		http://www.cs.binghamton.edu/~lijun/Re search/3DFE/3DFE_Analysis.html	Commercial
4	BU_4DFE	 Images: 60600 3D face frames with 6 videos Variability: expressions 	म स स स स स		
5	FaceBase	 Images: 444 3D facial scan Subj: 369 (age: 1-75) Variability: genetic syndrome Landmark: 12 	EEEEE	www.facebase.org	Free
6	DTU-3D	Subj: 601Landmark: 73		-	Not available
7	Stirling/ESRC	 Subj: 101 Variability: expression Landmark: 16 	\$ \$ \$ \$ \$ \$ \$ \$ \$	http://pics.stir.ac.uk/ESRC/index.htm	Free
	》 사업견영공한과			6	Technology Lab

Landmarks: Frequency of Detection (1/4)

□ The detection for partial ergonomic key LMs was not



sufficient.

Amount 7 20 12 14 68 23 83 21 13 68 78 16 10 73/83 24 22/8 7 13 7 22 21 5 1	22	_		
1ype Landmarks (2) 20 (2) (2) (2) (2) (2) (2) (2) (2) (2) (2)	N. S. M.	Count	Frequency	Note
Glabella 0 0		2	8%	2
Sellion 0 0 0 0 0 0 0 0 0 0 0		10	42%	3
Pronasale/nose tip 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	18	75%	4
Submasale 0		9	38%	5
Promentale 0 0 0 0 0 0 0 0		8	33%	6
Menton O O O O O O	0	6	25%	7
Dacryon (right/left) 0 0 0 0 0 0	0	6	25%	8/9
Nasal alar (right/left) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	14	58%	10/11
Checkion (right/left) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	14	58%	12/13
$\begin{array}{cccc} \text{Ergonomic} \\ \text{Ergonomic} \\ \text{Educations} (\operatorname{right}/\operatorname{left}) & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & $	0	15	63%	14/15
	0	6	/1%	10/1/
	0	0	2370	20/21
Zygoli (right/cft)		1	4%	20/21
Gonin (right cft)	1	1	4%	24/25
Critical Cri	<i>)</i>	0	0%	31
Palpebrale superius (right/left) 0		1	4%	32/33
Palpebrale inferius (right/left) o LM almost missed (<10%	b)	1	4%	34/35
Otobasion superius (right/left)	'	0	0%	36/37
Inside eyebrow (right/left) 0 0 0 0 0 0	0	7	29%	38/39
Eye/pupil (right/left) o o		2	8%	
Eyebrow (right/left) O O O O	0	6	25%	
Orbitale (right/left)		0	0%	
Nose bridge O		1	4%	
Nose alar top (right/left) 0 0 0		4	17%	
Inferior pont of the nostril axis O O O O O		4	17%	
(right/left)				
Mouth 0 0 0 0 0 0 0	0	7	29%	
Upper lip top 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	12	50%	
General Under hip bottom 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	12	50%	
LMs Mentolabal sulcus 0 0 0 0 0	0	5	21%	
		1	4%	
		2	870	
Events of the second se		<u>∠</u> Л	070	
Even outline 0 0 0 0 0 0		5	21%	
Nos outline 0 0 0 0		4	17%	
Month outline 0 0 0 0 0 0		5	21%	
Face outline 0 0 0 0		3	13%	
nose trunk O		1	4%	

Interpolated Landmarks (2/4)

- LMs were detected in the perspective of facial key points and interpolated landmarks.
- Interpolated landmarks which represent the outline or the trunk of face parts (eyebrow, eye, nose, mouth, face) were frequently detected.



Interpolated Landmarks on Face Outline (3/4)

The detection accuracy of interpolated LMs on the face outline is still challenging.

Outline LMs detected in less accuracy



True location of outline LMs







Ergonomic Key LMs (4/4)

Among the ergonomic key LMs, 10 LMs were detected in high frequency, 9 LMs were detected in low frequency, 14 LMs were almost missed detection.







3D Landmark Detection Methods (1/5)

□ 3D landmark detection was organized into (1) geometry-based method, (2)

template-based method, (3) Al-based method

No.	Paper	Category
17	Abu et al. (2019)	GS
23	Vezzetti et al. (2018)	GS
24	Gao et al. (2018)	GS
28	Sghaier et al. (2017)	GS
29	Boukamcha et al. (2017)	GS
33	Shah et al. (2016)	GS
16	Agbolade et al. (2019)	TF
31	Gilani et al. (2015)	TF
34	Liang et al. (2013)	TF
3	Pui et al. (2019)	AI
4	Huang et al. (2019)	AI
5	Bannister et al. (2020)	AI
6	Terada et al. (2018)	AI
7	Deng et al. (2018)	AI
8	Wang et al. (2019)	AI
10	Sun et al. (2019)	AI
11	Jong et al. (2018)	AI
13 (18)	Zhang et al. (2020)	AI
14	Sullivan et al. (2019)	AI
18 (13)	Gao et al. (2019)	AI
19	Paulsen et al. (2019)	AI
20 (21)	Camgoz et al. (2015)	AI
21 (20)	Krizaj et al. (2018)	AI
22	Cheng et al. (2018)	AI
25	Kai et al. (2017)	AI
27	Xiao et al. (2018)	AI
30	Wang et al. (2018)	AI
1	Wu and Ji (2018)	LR
2	Wang et al. (2018)	LR
15	Manal et al. (2019)	LR
32	Johnston and Chazal. (2018)	LR

(1) Geometry-based method







Geometrical Shape-based Method (2/5)

Identify prominent LMs through a coarse to fine process by extracted geometry characteristics such as gaussian, mean, principal curvatures, shape index, curvedness, surface normal, and 3D vector fields.



3D vector field analysis



Shah et al. (2016)

Threshold geometrical descriptors

(e.g., point-by-point derivatives and curvatures)



Thresholded surface normal



Template-fitting-based Method (3/5)

Identify LMs through a initialization to fitting process through a template face

with pre-defined LMs.

Algorithm for template-fitting: NICP (non-rigid iterative closest point)





(deform the template mesh to match the morphology of the target mesh)



Fitting base on landmarks





Agbolade et al. (2019)

Liang et al. (2013)

Fitting base on regions of point cloud



Al-technique-based Method (4/5)

- Identify LMs by two broad frameworks: 1) pure-learning framework, and 2) hybrid framework.
 - ✓ Pure-learning framework: direct feature extraction on 3D data
 - ✓ Hybrid framework: combine with 2D image and projection model
- Various algorithms such as holistic, constrained local model (CLM), regressionbased and deep learning based methods were applied.



Advantages and disadvantages (5/5)

Advantages and disadvantages were organized as a reference for future automatic LM detection development.

Method	Advantages	Disadvantages
Geometrical shape-based method	 Not require any training Not rely on any particular model Efficient High accuracy 	 Limited to landmarks with prominent geometric features (e.g., nose tip and eye corner)
Template-fitting- based method	 Fast Not limit to LMs (can detect any number or location of pre-defined LMs) 	 Need initialization
AI-based method	 Solve landmark detection problems "in-the-wild" 	 Need a certain amount of labelled data





Evaluation Criteria

□ Conduct **relative evaluation** on accuracy and efficiency in **specific scope**

- ✓ Dataset dimension
 - ➢ The amount of data
 - > Features: expression, occlusion, pose, illumination, deformity
- ✓ Landmark dimension: amount and type
- $\checkmark\,$ Specifications of the PC

	Accuracy	Efficiency
Method	Comparing the detected LM locations with the ground truth LM locations	Comparing the computational cost
Measure	 Mean error of each landmark of all subjects Overall mean error RMSE (root mean square error) Ratio within a specified error range (e.g., within 5 mm and 10 mm) 	Training timeDetection time





Performance



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The performance of LM detection methods on three most popular databases was roughly identified for further evaluation.

No.	Database	Features	Detected LMs	Mean error	Reference
1	Bosphorus	 Images: 4666 3D faces Subj.: 105 Variability: expressions, poses, occlusions Landmark: 22 	 7 LMs 8 LMs 10 LMs 22 LMs 83 LMs (manually extracted) 	 0.8 - 1.5 mm 2.7 - 7.2 mm 2.4 - 5.2 mm 2.1 - 6.0 mm 6.98 ± 3.94 mm (all) 	[24] [23] [21] [25] [10]
2	FRGCv2	 Images: 4007 Subj.: 466 Variability: expressions Landmark: 8 UND: 1680, 537, rotation 	 7 LMs 7 LMs 10 LMs (FRGC+UND) 14 LMs 	 2.9 - 3.7 mm 1.2 - 6.9 mm 3.1 - 4.8 mm 2.66 ± 1.89 mm (all) 	[22] [24] [21] [13]
3	BU_3DFE	 Images: 2400 3D facial models Subj.: 100 Variability: expressions, angles (about ±45 °yaw angle) Landmark: 83 	 4 LMs 7 LMs 11 LMs 14 LMs 14 LMs 	 3.3 - 4.9 mm 4.2 - 17.2 mm 1.8 - 3.0 mm 2.6 - 4.7 mm 1.5 - 2.8 mm 	[24] [3] [19] [10] [13]
A D LON		Landmark: 83			

Discussion (1/4)

- 3D landmark detection techniques were organized in terms of data source, landmark, method, evaluation criteria, and evaluation performance.
- ⇒ Contribute to the development of automatic 3D LM detection methods on ergonomic applications.



Evaluation criteria

	Accuracy	Efficiency
Method	Comparing the detected LM locations with the ground truth LM locations	Comparing the computational cost
Measure	 Mean error of each landmark of all subjects Overall mean error RMSE (root mean square error) Ratio within a specified error range 	 Training time Detection time

Evaluation performance

No.	Database	Features	Detected LMs	Mean error	Reference
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Discussion (2/4)

- A 3D facial landmark detection method for key landmarks of ergonomic applications (e.g., anthropometric measurement and product design) with high performance needs to be developed.
- A hybrid method combining template-, AI-, and geometry-methods is promising to customize LM detection to satisfy the detection requirement in ergonomics.



Discussion (3/4)

The performance (accuracy, efficiency, and stability) for particular users (with facial deformities, large-scale poses, various expressions, extreme illuminations, and partial occlusions) needs to be improved for ergonomic applications in realworld scenarios.

An ergonomic application for ALS patients with facial deformation



Discussion (4/4)

An evaluation protocol to verify the effectiveness of detected LMs for facial dimension measurement need to be developed.













경청해 주셔서 감사합니다.

